

Chapter 20: Recursive Types

Examples
Formalities
Subtyping



Review: Lists Defined in Chapter 11

- List T describes finite-length lists whose elements are drawn from T.

→ **List** Extends λ_ (9-1) with booleans (8-1)

<p><i>New syntactic forms</i></p> <p>t ::= ...</p> <p style="padding-left: 20px;">nil[T]</p> <p style="padding-left: 20px;">cons[T] t t</p> <p style="padding-left: 20px;">isnil[T] t</p> <p style="padding-left: 20px;">head[T] t</p> <p style="padding-left: 20px;">tail[T] t</p> <p>v ::= ...</p> <p style="padding-left: 20px;">nil[T]</p> <p style="padding-left: 20px;">cons[T] v v</p> <p>T ::= ...</p> <p style="padding-left: 20px;">List T</p> <p><i>New evaluation rules</i></p> <p style="padding-left: 20px;">$\frac{t_1 \rightarrow t'_1}{\text{cons}[T] t_1 t_2 \rightarrow \text{cons}[T] t'_1 t_2}$ (E-CONS1)</p> <p style="padding-left: 20px;">$\frac{t_2 \rightarrow t'_2}{\text{cons}[T] v_1 t_2 \rightarrow \text{cons}[T] v_1 t'_2}$ (E-CONS2)</p> <p style="padding-left: 20px;">isnil[S] (nil[T]) → true (E-ISNILNIL)</p> <p style="padding-left: 20px;">isnil[S] (cons[T] v_1 v_2) → false (E-ISNILCONS)</p>	<p><i>terms:</i></p> <p style="padding-left: 20px;">empty list</p> <p style="padding-left: 20px;">list constructor</p> <p style="padding-left: 20px;">test for empty list</p> <p style="padding-left: 20px;">head of a list</p> <p style="padding-left: 20px;">tail of a list</p> <p><i>values:</i></p> <p style="padding-left: 20px;">empty list</p> <p style="padding-left: 20px;">list constructor</p> <p><i>types:</i></p> <p style="padding-left: 20px;">type of lists</p>	<p>$\frac{t_1 \rightarrow t'_1}{\text{isnil}[T] t_1 \rightarrow \text{isnil}[T] t'_1}$ (E-ISNIL)</p> <p>$\frac{}{\text{head}[S] (\text{cons}[T] v_1 v_2) \rightarrow v_1}$ (E-HEADCONS)</p> <p>$\frac{t_1 \rightarrow t'_1}{\text{head}[T] t_1 \rightarrow \text{head}[T] t'_1}$ (E-HEAD)</p> <p>$\frac{}{\text{tail}[S] (\text{cons}[T] v_1 v_2) \rightarrow v_2}$ (E-TAILCONS)</p> <p>$\frac{t_1 \rightarrow t'_1}{\text{tail}[T] t_1 \rightarrow \text{tail}[T] t'_1}$ (E-TAIL)</p> <p><i>New typing rules</i></p> <p>$\frac{}{\Gamma \vdash \text{nil}[T_1] : \text{List } T_1}$ (T-NIL)</p> <p>$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : \text{List } T_1}{\Gamma \vdash \text{cons}[T_1] t_1 t_2 : \text{List } T_1}$ (T-CONS)</p> <p>$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{isnil}[T_{11}] t_1 : \text{Bool}}$ (T-ISNIL)</p> <p>$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{head}[T_{11}] t_1 : T_{11}}$ (T-HEAD)</p> <p>$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{tail}[T_{11}] t_1 : \text{List } T_{11}}$ (T-TAIL)</p>
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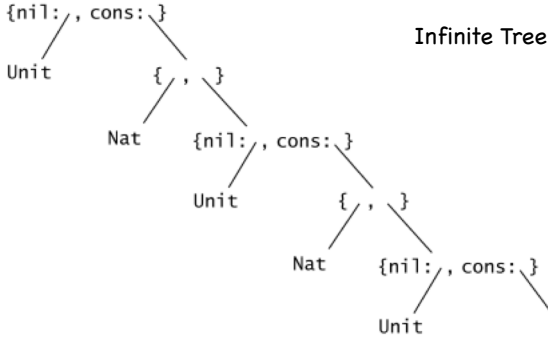


Examples of Recursive Types



Lists

NatList = $\langle \text{nil}:\text{Unit}, \text{cons}:\{\text{Nat}, \text{NatList}\} \rangle$



$$\text{NatList} = \mu X. \langle \text{nil}:\text{Unit}, \text{cons}:\{\text{Nat}, X\} \rangle$$

This means that let NatList be the infinite type satisfying the equation:

$$X = \langle \text{nil}:\text{Unit}, \text{cons}:\{\text{Nat}, X\} \rangle.$$


Defining functions over lists

- `nil` = `<nil=unit>` as NatList
- `cons` = `λn:Nat. λl:NatList. <cons={n,l}>` as NatList
- `isnil` = `λl:NatList. case l of`
`<nil=u> ⇒ true`
`| <cons=p> ⇒ false;`
- `hd` = `λl:NatList. case l of <nil=u> ⇒ 0 | <cons=p> ⇒ p.1`
- `tl` = `λl:NatList. case l of <nil=u> ⇒ l | <cons=p> ⇒ p.2`
- `sumlist` = `fix (λs:NatList→Nat. λl:NatList.`
`if isnil l then 0 else plus (hd l) (s (tl l)))`



Hungry Functions

- **Hungry Functions:** accepting any number of numeric arguments and always return a new function that is hungry for more

Hungry = $\mu A. \text{Nat} \rightarrow A$

f : Hungry

f = fix ($\lambda f: \text{Nat} \rightarrow \text{Hungry}. \lambda n: \text{Nat}. f$)

f 0 1 2 3 4 5 : Hungary



Streams

- **Streams:** consuming an arbitrary number of unit values, each time returning a pair of a number and a new stream

Stream = $\mu A. \text{Unit} \rightarrow \{\text{Nat}, A\}$;

upfrom0 : Stream

upfrom0 = fix ($\lambda f: \text{Nat} \rightarrow \text{Stream}. \lambda n: \text{Nat}. \lambda _: \text{Unit}. \{n, f (\text{succ } n)\}$) 0;

hd : Stream \rightarrow Nat

hd = $\lambda s: \text{Stream}. (s \text{ unit}).1$

(Process = $\mu A. \text{Nat} \rightarrow \{\text{Nat}, A\}$)



20.1.2 EXERCISE [RECOMMENDED, **]: Define a stream that yields successive elements of the Fibonacci sequence (1, 1, 2, 3, 5, 8, 13, ...). \square



Objects

- **Objects**

```
Counter =  $\mu C$ . { get : Nat,
                  inc : Unit  $\rightarrow$  C,
                  dec : Unit  $\rightarrow$  C }
```

```
c : Counter
```

```
c = let create = fix ( $\lambda f$ : {x:Nat}  $\rightarrow$  Counter.  $\lambda s$ : {x:Nat}.
  { get = s.x,
    inc =  $\lambda \_:$ Unit. f {x=succ(s.x)},
    dec =  $\lambda \_:$ Unit. f {x=pred(s.x)} })
  in create {x=0};
```

```
((c.inc unit).inc unit).get  $\rightarrow$  2
```



Recursive Values from Recursive Types

- **Recursive Values from Recursive Types**

$$F = \mu A. A \rightarrow T$$

$$\text{fix}T = \lambda f:T \rightarrow T. (\lambda x:(\mu A. A \rightarrow T). f(x\ x))$$

$$(\lambda x:(\mu A. A \rightarrow T). f(x\ x))$$

(Breaking the strong normalizing property:
 $\text{diverge} = \lambda _:\text{Unit}. \text{fix}T (\lambda x:T. x)$ becomes typable)



Untyped Lambda Calculus

- **Untyped Lambda-Calculus:** we can embed the whole untyped lambda-calculus - in a well-typed way - into a statically typed language with recursive types.

$$D = \mu X. X \rightarrow X;$$

$$\text{lam} : D$$

$$\text{lam} = \lambda f:D \rightarrow D. f \text{ as } D;$$

$$\text{ap} : D$$

$$\text{ap} = \lambda f:D. \lambda a:D. f\ a;$$



- Embedding

$$\begin{aligned}x^* &= x \\(\lambda x.M)^* &= \lambda \text{am } (\lambda x:D. M^*) \\(M N)^* &= \text{ap } M^* N^*\end{aligned}$$



Formalities

What is the relation between the type $\mu X.T$ and its one-step unfolding?

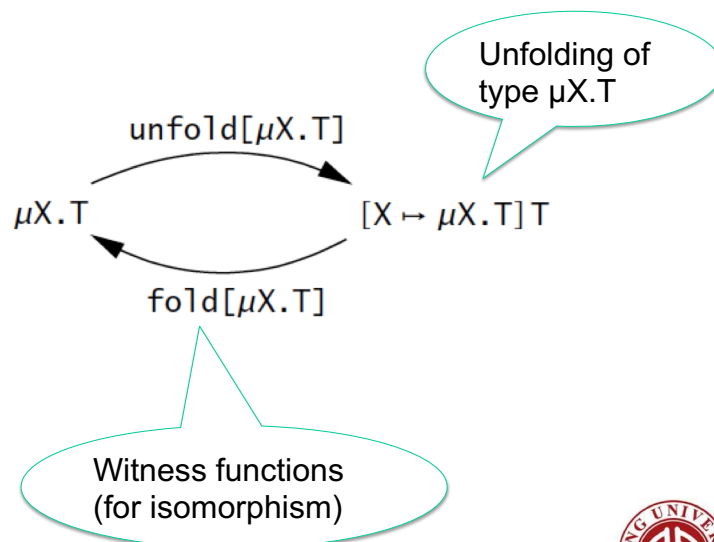


Two Approaches

- The equi-recursive approach
 - takes these two type expressions as definitionally equal—interchangeable in all contexts— since they stand for the same infinite tree.
 - more intuitive, but places stronger demands on the typechecker.
- 2. The iso-recursive approach
 - takes a recursive type and its unfolding as different, but isomorphic.
 - Notationally heavier, requiring programs to be decorated with fold and unfold instructions wherever recursive types are used.



The Iso-Recursive Approach



Iso-recursive types ($\lambda\mu$)

Extends $\lambda\mu$. (9-1)

$\rightarrow \mu$ $t ::= \dots$ fold [T] t unfold [T] t $v ::= \dots$ fold [T] v $T ::= \dots$ X $\mu X. T$	<i>terms:</i> folding unfolding <i>values:</i> folding <i>types:</i> type variable recursive type	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> $\frac{t_1 \rightarrow t'_1}{\text{fold [T] } t_1 \rightarrow \text{fold [T] } t'_1} \quad (\text{E-FLD})$ </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> $\frac{t_1 \rightarrow t'_1}{\text{unfold [T] } t_1 \rightarrow \text{unfold [T] } t'_1} \quad (\text{E-UNFLD})$ </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <p style="margin: 0;">New typing rules $\Gamma \vdash t : T$</p> $\frac{U = \mu X. T_1 \quad \Gamma \vdash t_1 : [X \mapsto U]T_1}{\Gamma \vdash \text{fold [U] } t_1 : U} \quad (\text{T-FLD})$ </div> <div style="border: 1px solid black; padding: 5px;"> $\frac{U = \mu X. T_1 \quad \Gamma \vdash t_1 : U}{\Gamma \vdash \text{unfold [U] } t_1 : [X \mapsto U]T_1} \quad (\text{T-UNFLD})$ </div>
<p style="margin: 0;">New evaluation rules $t \rightarrow t'$</p> $\text{unfold [S] (fold [T] } v_1) \rightarrow v_1 \quad (\text{E-UNFLDFLD})$		

Lists (Revisited)

$\text{NatList} = \mu X. \langle \text{nil}:\text{Unit}, \text{cons}:\{\text{Nat}, X\} \rangle$

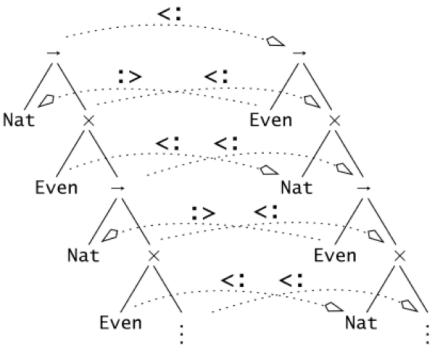
- 1-step unfolding of NatList:
 NLBody = $\langle \text{nil}:\text{Unit}, \text{cons}:\{\text{Nat}, \text{NatList}\} \rangle$
- Definitions of functions on NatList
 - Constructors
 - nil = fold [NatList] ($\langle \text{nil}=\text{unit} \rangle$ as NLBody)
 - Cons = $\lambda n:\text{Nat}. \lambda l:\text{NatList}.$
 fold [NatList] $\langle \text{cons}=\{n, l\} \rangle$ as NLBody
 - Destructors
 - hd = $\lambda l:\text{NatList}.$
 case unfold [NatList] l of
 $\langle \text{nil}=u \rangle \Rightarrow 0$
 | $\langle \text{cons}=p \rangle \Rightarrow p.1$

[Exercises: Define tl, isnil]

Subtyping



- Can we deduce $\mu X. \text{Nat} \rightarrow (\text{Even} \times X) <: \mu X. \text{Even} \rightarrow (\text{Nat} \times X)$ from $\text{Even} <: \text{Nat}$?



Homework

Problem (Chapter 20)

Natural number can be defined recursively by

$$\text{Nat} = \mu X. \langle \text{zero: Nil, succ: } X \rangle$$

Define the following functions in terms of fold and unfold.

- (1) **isZero** n: check whether a natural number n is zero or not.
- (2) **add1** n: increase a natural number n by 1.
- (3) **plus** m n: add two natural numbers.

